RESOLUTION - ACTION REQUESTED 2018-368

MEETING: July 24, 2018

TO: The Board of Supervisors

FROM: Chevon Kothari, Human Services Director

RE: Kings View Corporation MIS Agreement with Behavioral Health

RECOMMENDATION AND JUSTIFICATION:
Approve an Agreement with Kings View Corporation to Provide Electronic Health Record Information System Technical Support and All Pay Sources Billing Services to Behavioral Health in an Amount Not to Exceed $193,028; Approve the Health and Insurance Portability and Accountability Act (HIPAA) Business Associate Agreement Addendum with Kings View Corporation; and Authorize the Board of Supervisors Chair to Sign the Agreements.

Kings View provides extensive support and hosting of the Anasazi Electronic Health Record Information System that is essential to the operation of Mariposa County Behavioral Health. It is more cost efficient for the County to contract with Kings View to support the Anasazi system than attempt to duplicate their services. The costs for additional qualified Information Technology (IT) personnel, information security, and equipment would exceed the costs of this contract.

BACKGROUND AND HISTORY OF BOARD ACTIONS:
The existing agreement was approved by the Board on May 23, 2017 by Resolution 2017-315. The Department has contracted with Kings View for these services since 2004. These services are necessary to efficiently manage our client records and billing processes.

ALTERNATIVES AND CONSEQUENCES OF NEGATIVE ACTION:
If this Agreement is not approved, Behavioral Health would be without vital support for its billing and clinical documentation software. An alternate contractor could be sought, or Behavioral Health could attempt to provide qualified IT and other staff to duplicate Kings View's services. Kings View has a proven record of quality service that would be very difficult to replace. Behavioral Health would be at a loss to provide such technical services for the near term, and costs would likely exceed the Kings View contract costs.

FINANCIAL IMPACT:
The cost of this agreement is spread over three budget units (Behavioral Health, Alcohol & Drug, and Mental Health Services Act) based on number of staff
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assigned to each unit and is incorporated in the FY18-19 budget. There is no impact to the General Fund.

ATTACHMENTS:
Kings View EHRS Agreement 2019 - Wcsignature (PDF)
Addendum to Contract - HIPAA 2019 Wcsignature (PDF)

RESULT: ADOPTED BY CONSENT VOTE [UNANIMOUS]
MOVER: Marshall Long, District III Supervisor
SECONDER: Kevin Cann, District IV Supervisor
AYES: Smallcombe, Jones, Long, Cann, Menetrey
Agreement between Mariposa County and Kings View Corporation for
Electronic Health Record Information System and All Pay Sources Billing Services

This Agreement is made and entered into on the date of signature, by and between
KINGS VIEW CORPORATION, a California not-for-profit corporation, hereinafter
referred to as "CONTRACTOR", and the COUNTY OF MARIPOSA, a political
subdivision of the State of California, hereinafter referred to as “COUNTY”.

RECITALS

A. CONTRACTOR is a California not-for-profit corporation and provides
computerized management information services and support, other management
consulting services and assistance with billing of all pay sources.

B. COUNTY desires to increase access to Behavioral Health management
information services in an efficient and cost effective manner and, therefore,
desires to contract with CONTRACTOR, and CONTRACTOR desires to provide
such services to COUNTY, pursuant to the terms and subject to the conditions
contained herein.

AGREEMENT

NOW, THEREFORE, in view of the foregoing and for valuable consideration, the receipt
and adequacy of which is hereby acknowledged, the parties agree as follows:

1. PURPOSE. COUNTY desires to procure Electronic Health Record System
(EHRS) support services and assistance with billing of all Pay Sources
from CONTRACTOR as described in Exhibit A, Electronic Health Records
System (EHRS) Support Scope of Services, attached hereto and
incorporated by reference herein, and CONTRACTOR agrees to provide
the services set forth in Exhibit A for the compensation and on the terms
and conditions set forth herein.

2. a. TERM. This Agreement shall become effective July 1, 2018 through
June 30, 2019 and shall continue in full force and effect for one (1)
year from this effective date, subject to earlier termination as
provided in this Agreement.

b. Non-appropriation of funds. It is mutually agreed that if, for the
current fiscal year and/or any subsequent fiscal years covered
under this contract, insufficient funds are appropriated to make the
payments called for by this contract, this contract shall be of no
further force or effect. In this event, the COUNTY shall have no
liability to pay any further funds whatsoever to CONTRACTOR or furnish any other consideration under this contract and CONTRACTOR shall not be obligated to perform any further services under this contract. If funding for any fiscal year is reduced or deleted for the purposes of this program, COUNTY shall have the option to either cancel this contract with no further liability incurring to COUNTY, or offer an amendment to CONTRACTOR to reflect the reduced amount available to the program. The parties acknowledge and agree that the limitations set forth above are required by Article XVI, section 18 of the California Constitution. CONTRACTOR acknowledges and agrees that said Article XVI, section 18 of the California Constitution supersedes any conflicting law, rule, regulation or statute.

3. **COMPENSATION.**

Total compensation for the period of this Agreement, as set forth in the following sections (a, b, c).

a. COUNTY agrees to pay CONTRACTOR for the services provided by CONTRACTOR hereunder the amounts as set forth in Exhibit B, Electronic Health Record System (EHRS) Annual Budget, attached hereto and incorporated by reference herein. Payment of 1/12th the total annual budget amount will be due and payment on the first day of each month commencing with the effective date of this agreement.

b. CONTRACTOR will provide COUNTY with documentation from Cerner Community Behavioral Health Software supporting annual version rights and support costs as shown in Exhibit C, Annual Cerner Software Support Agreement will be due and payment on the first day of each month commencing with effective date of the agreement. It is understood by COUNTY and CONTRACTOR that Cerner Community Behavioral Health Software’s version rights and support is a pass through which CONTRACTOR pays to Cerner Software with no markup. Cerner Community Behavioral Health Software version rights and supports can increase each year. Cerner will provide an annual cost update. COUNTY and CONTRACTOR agree that this Agreement will be amended to incorporate any increase in annual software version rights costs.

c. COUNTY agrees to pay Contractor for onsite services as set forth in Exhibit D – Onsite Implementation & Training Services in an amount not to exceed $3,000 during the term of this Agreement. CONTRACTOR will invoice COUNTY for all lodging, travel and per diem expenses associated with on-site services. CONTRACTOR
must submit copies of original receipts for reimbursement of travel expenses.

d. Additional Cerner Modules are available for COUNTY to purchase to enhance the Electronic Health Record System. COUNTY agrees to pay CONTRACTOR for additional modules as listed in Exhibit E.

4. **INSURANCE.**

a. CONTRACTOR shall provide at its own expense and maintain at all times the following insurance with insurance companies licensed in the State of California and shall provide evidence of such insurance to COUNTY as may be required by COUNTY. COUNTY reserves the right to require complete, certified copies of all required insurance policies, including endorsements affecting the coverage required by these specifications at any time. CONTRACTOR’s insurance shall be primary insurance as respects COUNTY, its officers, officials, employees, representatives and agents, and any insurance or self-insurance maintained by COUNTY, its officers, officials, employees, representatives and agents shall be in excess of CONTRACTOR’s insurance and shall not contribute with it. The policies or certificates thereof shall provide that thirty (30) days prior to cancellation or material change in the policy notices of same shall be given to COUNTY for all of the following insurance policies:

i. **Worker’s Compensation** - in compliance with the laws and statutes of the State of California.

ii. **General Liability** - insurance with a minimum limit of liability per occurrence of One Million and No/100 Dollars ($1,000,000). This insurance shall indicate on the certificate of insurance the preceding coverage’s and indicate the policy aggregate limit applying to premises and operations and broad form contractual.

iii. **Automobile Liability** - insurance with a minimum limit of liability per occurrence of One Million and No/100 Dollars ($1,000,000) for bodily injury and $100,000 for property damage. This insurance shall cover bodily injury and property damage, owned automobiles, and non-owned automobiles.

iv. **Professional Liability:** If CONTRACTOR or any of its officers, agents, employees, volunteers, contractors or subcontractors are required to be professionally licensed or certified by any agency of the State of California in order to
perform any of the work or services identified herein, CONTRACTOR shall procure and maintain in force throughout the duration of this Agreement a professional liability insurance policy with a minimum coverage level of One Million and No/100 Dollars ($1,000,000.00), or as determined in writing by COUNTY'S Risk Management Department.

v. CONTRACTOR'S insurance policy (ies) shall be placed with insurer(s) with acceptable Best's rating of A: VII.

b. CONTRACTOR agrees to furnish COUNTY with a copy of an Endorsement to CONTRACTOR'S liability insurance policy naming COUNTY as an additional insured, in the amount at least $1,000,000 combined single limit coverage.

c. CONTRACTOR during FY 2018-2019 agrees to furnish COUNTY certificate of Cyber Liability Insurance. COUNTY will be listed as a named covered entity.

5. **RESPONSIBILITIES OF COUNTY.** During the term of this Agreement, COUNTY shall have the obligation to:

a. Cooperate with CONTRACTOR. COUNTY shall cooperate with CONTRACTOR by timely and accurately providing all information required by CONTRACTOR for the delivery of the EHRS and billing of all Pay Sources Services pursuant to this Agreement.

b. COUNTY, at its sole cost and expense, shall provide all equipment necessary for the installation, operation and maintenance of on-site information management and control, including communications equipment compatible with CONTRACTOR'S equipment. Included in the equipment to be provided by COUNTY shall be cabling, personal computers, server, a router, and a dedicated line for connection with CONTRACTOR'S information system.

6. **WARRANTIES.**

a. Limited Warranty. CONTRACTOR makes the following representations and warranties with respect to the software products to be utilized in the performance of the services hereunder.

   (i) The software products do not infringe any U.S. or international copyright or trade secret, or, to the knowledge
of CONTRACTOR, any patent right or other Intellectual Property right of any third party.

(ii) The software products do not, at the time of delivery to COUNTY, contain any malicious software such as a virus, worm, Trojan horse detectable by currently available utilities nor do the software products contain any encoded or embedded serial number, time-out or any similar or dissimilar disabling device or characteristic, and that no such device or characteristic will be contained in any future software products made available by CONTRACTOR.

(iii) The software products will, in all material respects, operate properly in conjunction and concurrent with the software listed as required third party technologies in this Agreement. This warranty does not extend to the operation of the software products in conjunction with other software applications. It is understood that the third party technologies required to operate enhancements or new versions of the software products may change over time.

(iv) CONTRACTOR’S warranties do not apply to: (i) any copy of the software products modified by any person or organization other than CONTRACTOR or an authorized representative of CONTRACTOR; (ii) use of the software products other than in accordance with the most current documentation; (iii) failures caused by defects, problems, or failures in selection, installation, or configuration of COUNTY equipment; (iv) failures caused by defects or problems with software applications other than the software products; (v) failures caused by conflicts with software applications not listed as required third party technologies in this Agreement; (vi) failures caused by any Internet Services Provider; (vii) failures caused by malicious software; or (viii) failures caused by negligence or malicious conduct of COUNTY or its designees or any person or organization except CONTRACTOR or an authorized representative of CONTRACTOR.

(v) CONTRACTOR makes no warranty: (i) that the functions performed by the software products will meet COUNTY’S requirements or achieve the results desired by COUNTY or will operate in the combinations that may be selected for use by COUNTY; (ii) that the operation of the software products will be error free in all circumstances; (iii) that all defects in the software products that would not constitute a material breach will be corrected; nor (iv) that the operation of the
software products will not be interrupted for a short period of time by reason of a defect therein or by reason of fault on the part of CONTRACTOR.

b. **Disclaimer:**

Except as specifically set forth in this Agreement and the exhibits hereto, CONTRACTOR makes no representations or warranties, whether written or oral, express or implied, with respect to the subject matter of this Agreement or exhibit to this Agreement, and CONTRACTOR hereby disclaims all other representations and warranties, including any implied warranties of merchantability or implied warranties of fitness or suitability for a particular purpose, (whether or not CONTRACTOR knows, has reason to know, has been advised, or is otherwise in fact aware of any such purpose), whether alleged to arise by law, by reason of custom or usage in trade, or by course of dealing. In addition, CONTRACTOR expressly disclaims any warranty or representation to any person or organization other than COUNTY with respect to the software products or any part thereof.

7. **LIMITATION OF LIABILITY.**

In no event will CONTRACTOR be liable for any loss of revenue, loss of use, business interruption, loss of data, cost of cover or indirect, special, incidental or consequential damages of any kind directly connect with COUNTY’S use or misuse of the software products, failure to comply with CONTRACTOR’S requirements or the delivery of the services to be provided under this Agreement or its exhibits. It is understood that such software products will be used in the delivery of clinical services and administration of human service programs, and it is agreed that responsibility for all decisions relating to the provision of treatment, payment of benefits and allocation of resources are the responsibility of COUNTY and not the responsibility of CONTRACTOR.

8. **INDEMNIFICATION**

a. **General Indemnification for COUNTY.** CONTRACTOR shall hold COUNTY, its agents, officers, employees, and volunteers harmless from, save, indemnify, and defend the same against, any and all claims, and damages for injury to person or property, and related costs and expenses (including reasonable attorney’s fees), arising out of any act or omission of CONTRACTOR, its agents, officers,
employees, or volunteers, during the performance of its obligations under this Agreement.

b. **General Indemnification for CONTRACTOR.** COUNTY shall hold CONTRACTOR, its agents, officers, employees, and volunteers harmless from, save, indemnify, and defend the same against, any and all claims, and damages for injury to person or property, and related costs and expenses (including reasonable attorney’s fees), arising out of any act or omission of COUNTY, its agents, officers, employees, or volunteers, during the performance of its obligations under this Agreement.

c. **Indemnification of Intellectual Property.** Subject to the limitations of this Paragraph 8c and Paragraph 7 of this Agreement, CONTRACTOR shall indemnify and hold COUNTY, its agents and employees harmless from any loss, damage or liability for infringement of any United States patent right, copyright, trade secret or any other proprietary right with respect to the use of the items delivered hereunder, provided CONTRACTOR is promptly notified in writing of any suit or claim against COUNTY and provided further that COUNTY permits CONTRACTOR to defend, compromise or settle the same and gives CONTRACTOR all available information, assistance and authority to enable CONTRACTOR to do so. CONTRACTOR indemnity as to use shall not apply to any infringement arising out of use in combination with other items where such infringement would not have occurred in normal use. This paragraph shall survive any expiration or termination of this Agreement.

i. If such materials are found to infringe, or in the reasonable opinion of CONTRACTOR are likely to be the subject of a claim, CONTRACTOR will, at its option:

1. obtain for the COUNTY the right to use such materials;
2. replace or modify the materials so they become non-infringing; or
3. if neither 1 or 2 is reasonably achievable, remove such materials and refund their net book value based on straight-line (equal year over year) depreciation with a salvage value of zero dollars over a five (5) year period commencing on the date the allegedly infringing item(s) were first delivered to COUNTY.

ii. CONTRACTOR has no obligation to the extent any claim results from:
1. modification of the materials other than at the direction of CONTRACTOR, or

2. Use of an allegedly infringing version of the materials, if the infringement could have been avoided by the use of a different version made available to COUNTY.

This section states CONTRACTOR’S entire obligation to COUNTY and COUNTY’S sole remedy for any claim of infringement.

9. NON DISCRIMINATION BY CONTRACTOR. In connection with its performance under this Agreement, CONTRACTOR shall not discriminate against any employee or applicant for employment because of race, color, religion, age, sex, national origin, political affiliation, ancestry, marital status or disability. This policy does not require the employment of unqualified persons.

10. TERMINATION.

   a. COUNTY may terminate this Agreement by written notice in the event CONTRACTOR fails to perform its obligations under this Agreement, and such default is not cured within thirty (30) days after receipt of such written notice. COUNTY may terminate the Agreement upon One Hundred Twenty (120) days written notice to CONTRACTOR without cause.

   b. CONTRACTOR may terminate this Agreement by written notice in the event COUNTY fails to perform any of its obligations under this Agreement, and such default is not cured within thirty (30) days after receipt of such written notice. CONTRACTOR may terminate the Agreement upon One Hundred Twenty (120) days written notice to CONTRACTOR without cause.
11. **INTELLECTUAL PROPERTY RIGHTS.**

a. The software products are protected by both United States copyright law and international copyright treaty provisions. Cerner retains sole and exclusive ownership of all right, title and interest in and to the software products and all intellectual property rights relating thereto.

b. It is expressly understood by COUNTY and CONTRACTOR that Cerner will retain the sole and exclusive ownership and intellectual property rights to any customized modifications or enhancements of the software products or any original software products created by Cerner for COUNTY or CONTRACTOR. Any such work will not be considered "work for hire" within the meaning of copyright law, even if COUNTY or CONTRACTOR pays Cerner to develop the enhancement or software product.

c. Except as authorized by this Agreement, COUNTY will not itself, or through any parent, subsidiary, affiliate, agent or other third party: (1) sell, lease, license, sublicense, market, or distribute the software products anywhere in the world; (2) decompile, disassemble, or reverse engineer the software products, in whole or in part; (3) write or develop any derivative work based upon the software products, documentation or any company information; or (4) provide, disclose, divulge or make available to, or permit use of the software products by any third party, except as permitted by this Agreement or with Cerner's prior written consent.

12. **CONFIDENTIAL INFORMATION; TRADE SECRETS.**

a. The parties hereby acknowledge that their personnel may gain access to information that the other party deems to be confidential and/or proprietary information and which has commercial value in its business and is not in the public domain. "Confidential Information" means any and all proprietary business information of the disclosing party that does not constitute a Trade Secret (as hereafter defined), including any proprietary business information of which the receiving party becomes aware as a result of its access to and presence at the other party's facilities. "Trade Secrets" means information related to the business or services of the disclosing party or its affiliates, including without limitation the Software Products, its documentation and support materials which: (i) derives economic value, actual or potential, from not being generally known to or readily ascertainable by other persons who can obtain economic value from its disclosure or use, and (ii) is the subject of efforts by the disclosing party or its affiliates that are
reasonable under the circumstances to maintain its secrecy, including, without limitation, (a) marking any information reduced to tangible form clearly and conspicuously with a legend identifying its confidential or proprietary nature, (b) identifying any oral presentation or communication as confidential immediately before, during, or after such oral presentation or communication, or (c) otherwise treating such information as confidential. “Trade Secret” means, without limitation, any and all technical and non-technical data related to designs, programs, research, software file structures, flow charts, business rules embedded within software products, drawings, techniques, standards, source code and object code of the software products, the documentation, inventions, finances, actual or potential customers and suppliers, research, development, marketing, and existing and future products and employees of the disclosing party and its affiliates. “Company Information” means, collectively, the Confidential Information and Trade Secrets. Company Information also includes information that has been disclosed to any party by a third party which such party is obligated to treat as confidential, and all software tools, methodologies, documentation, business plans, product plans, and all related technical materials and enhancements and modifications thereto.

b. **Obligations.** COUNTY and CONTRACTOR will each use the same care to prevent disclosing to third parties the Company Information of the other as it employs to avoid disclosure, publication, or dissemination of its own information of the same nature, but in no event less than a reasonable standard of care. Furthermore, except as contemplated by this Agreement, neither party will: (i) make any use of the other party’s Company Information; (ii) acquire any right in or assert any lien against the other party’s Company Information; (iii) disclose any Company Information to a third party except as permitted by this Agreement or with the written permission of the other party; or (iv) refuse to promptly return, provide a copy of, or destroy the other party’s Company Information upon request of the other party. COUNTY will reimburse CONTRACTOR for the cost of destruction of information maintained on backup tapes.

c. **Exclusions.** Notwithstanding the foregoing, this section shall not apply to any information that the receiving party can demonstrate: (i) was in the public domain at the time of disclosure to it; (ii) was published or otherwise became a part of the public domain, after disclosure to the receiving party, through no fault of its own; (iii) was in the possession of the receiving party at the time of disclosure to it from a third party who had a lawful right to such information and disclosed such information without a breach of duty owed to the disclosing party; or (iv) was independently developed by the
receiving party without reference to the Company Information of the disclosing party. Further, either party may disclose the other party’s Company Information to the extent required by law or by order of a court or governmental agency.

d. **Report of Unauthorized Use or Disclosure of Company Information.**

(i) Each party will immediately report to the other any use or disclosure of Company Information of the other that is not permitted by this Agreement or other written agreement of the parties.

(ii) COUNTY will not allow any person other than Authorized Users or Cerner or CONTRACTOR staff access to the software products or to use Company Information until that person has executed a written agreement with Cerner holding that person to the same requirements as this part 9 and COUNTY has been notified by Cerner that this Agreement has been executed and that the person has permission to access and use the Cerner Company Information to support COUNTY. “Authorized Users” are members of COUNTY’S Workforce to whom COUNTY has assigned passwords or otherwise permitted access to or use of the software products, and who have signified their agreement to terms and conditions of use of the software products that are consistent with this Agreement, including provisions for the protection of Cerner intellectual property rights and Confidential Information. Workforce means directors, officers, employees, volunteers, trainees, and other persons whose conduct in the performance of work is under the direct control of COUNTY. Workforce does not include contractors other than CONTRACTOR who perform services that would otherwise be performed by Cerner or CONTRACTOR, unless the contractor has signed an agreement with Cerner for the protection of Cerner’s intellectual property rights.

(iii) COUNTY understands that Cerner’s proprietary rights and non-disclosure agreements prohibit any person other than CONTRACTOR, Cerner staff and Authorized Users from retaining possession of Cerner Company Information. COUNTY will immediately notify Contractor and Cerner if it becomes aware that any person other than an Authorized User or Cerner or CONTRACTOR staff has or appears to have in their possession Cerner Company Information, or makes unsubstantiated claims that Cerner has granted permission to that person to use Cerner Company Information to support COUNTY.
e. **Period of Limitation.** The covenants of confidentiality set forth herein: (i) will apply upon commencement of this Agreement to any Company Information disclosed to the receiving party, including Company Information disclosed during the course of negotiation of this Agreement, and (ii) will continue and must be maintained until termination of this Agreement, and in addition, with respect to Trade Secrets, at any and all times after termination of the relationship between the parties hereto, during which such Trade Secrets retain their status as such under applicable law.

f. **Third Party Vendors.** It is understood that COUNTY may wish to create linkages between the software products and other software applications or databases. COUNTY acknowledges that the file structures and business rules of the software products and the documentation are the intellectual property of Cerner and Company Information, within the meaning of Paragraph 12a. COUNTY will not give third party vendors other than CONTRACTOR access to this Company Information without the written permission of Cerner. Cerner will give that permission if the third party vendor enters a written proprietary rights, non-disclosure, and non-compete agreement with Cerner.

13. **ACCESS TO COUNTY SITES.** CONTRACTOR may need physical access to COUNTY facilities for technical services or support during this Agreement. Prior to any technical services or support visit by CONTRACTOR, an appointment will be made by CONTRACTOR with COUNTY IT Helpdesk. A member of COUNTY’S IT staff must be on-hand to provide access to the facility and accompany CONTRACTOR personnel.

14. **MEDICAL RECORDS.**

a. **Ownership and Access.** All records contained in the patient files maintained by COUNTY shall be the property of COUNTY, and CONTRACTOR shall not remove these records upon termination of this Agreement, except pursuant to a specific request in writing with respect to and from a person treated by a provider during the term of the Agreement, unless otherwise agreed to by COUNTY. Any working copies of client records generated by CONTRACTOR will be maintained by CONTRACTOR throughout the term of this Agreement. At such time as this Agreement may be terminated, all working copies of client records will be securely delivered to COUNTY at COUNTY’s expense and as directed by COUNTY. In the event of a claim or challenge by a patient or any regulatory authority, COUNTY shall cooperate with CONTRACTOR by making the patient files in COUNTY’s possession available for copying or inspection (to the extent allowable by the rules regarding
confidentiality of medical records). CONTRACTOR shall similarly cooperate with COUNTY and make available working copies of client records in the event of such a claim or challenge. There is hereby made a part hereof the provisions of Exhibit E Business Associate Agreement, which is incorporated by reference herein.

b. **Compliance with Medicare Rules.** To the extent required by law or regulation, COUNTY shall make available, upon written request from CONTRACTOR, the Secretary of Health and Human Services, the Comptroller General of the United States, or any other duly authorized agent or representative, this Agreement and COUNTY’S books, documents and records to the extent necessary to certify the nature and extent of the costs for services provided by CONTRACTOR. COUNTY shall preserve and make available such books, documents and records for a period of seven (7) years after the end of the term of this Agreement. If COUNTY is requested to disclose books, documents or records pursuant to this subparagraph for any purpose, COUNTY shall notify CONTRACTOR of the nature and scope of such request, and COUNTY shall make available, upon written request of CONTRACTOR, all such books, documents or records. COUNTY shall defend, indemnify and hold free and harmless CONTRACTOR if any amount of reimbursement is denied or disallowed because of COUNTY’s failure to comply with the obligations set forth in this subparagraph. Such indemnity shall include, but not be limited to, the amount of reimbursement denied plus any interest, penalties and reasonable legal fees and costs.

15. **COMPLIANCE.**

a. **Compliance with Applicable Laws.** To the best of each party’s knowledge and belief, COUNTY and CONTRACTOR have operated in compliance with all federal, state, county and municipal laws, ordinances and regulations applicable thereto and each party represents that it has not received payment or any remuneration whatsoever to induce or encourage the referral of clients or the purchase of goods and/or services as prohibited under 42 United States Code Section 1320a-7b(b), or otherwise perpetrated any Medicare or Medicaid fraud or abuse, nor has any fraud or abuse been alleged within the last five (5) years by any governmental authority, a carrier or a third party payer.

b. **Health Care Compliance.** COUNTY is presently participating in or otherwise authorized to receive reimbursement from payer programs, and is not nor has ever been an excluded provider. Any and all necessary certifications and contracts required for participation in such programs are in full force and effect and have
not been amended or otherwise modified, rescinded, revoked or assigned as of the date hereof, and no condition exists or event has occurred which in itself or with the giving of notice or the lapse of time or both would result in the suspension, revocation, impairment, forfeiture or non-renewal of any such payer program.

c. **Fraud and Abuse.** Neither party shall engage in any activities which are prohibited by or are in violation of the rules, regulations, policies, contracts or laws pertaining to any third party and/or governmental payer program, or which are prohibited by rules of professional conduct ("Governmental Rules and Regulations"), including but not limited to the following:

i. knowingly and willfully making or causing to be made a false statement or representation of a material fact in any application for any benefit or payment;

ii. knowingly and willfully making or causing to be made any false statement or representation of a material fact for use in determining rights to any benefit or payment;

iii. failing to disclose knowledge by a claimant of the occurrence of any event affecting the initial or continued right to any benefit or payment on the provider’s own behalf or on behalf of another, with intent to fraudulently secure such benefit or payment; or

iv. knowingly and willfully soliciting or receiving any remuneration (including any kickback, bribe, or rebate), directly or indirectly, overtly or covertly, in cash or in kind or offering to pay or receive such remuneration:

1. in return for referring an individual to a person for the furnishing or arranging for the furnishing of any item or service for which payment may be made in whole or in part by Medicare or Medicaid, or

2. in return for purchasing, leasing, or ordering or arranging for or recommending purchasing, leasing, or ordering any good, facility, service or item for which payment may be made in whole or in part by Medicare or Medicaid. Each party acknowledges that this list is not an exhaustive or complete list of all Governmental Rules and Regulations and each party represents and warrants to the other that each will endeavor, to the best of their knowledge, to educate, to seek information, and/or to make themselves aware of these Governmental Rules and Regulations.
d. **Changes in the Law.** In the event of any changes in law or regulations implementing or interpreting any federal or state law relating to the subject matter of fraud and abuse or to payment-for-patient referral, including the laws referenced above, the parties shall use all reasonable efforts to revise this Agreement to conform and comply with such changes. In the event that the parties cannot revise this Agreement in a manner which will conform and comply with such changes and preserve to the extent possible the intent of the parties in entering into this Agreement, then either party may terminate those portions of this Agreement which cannot be revised to conform and comply with such changes and the intent of the parties.

16. **BOOKS AND RECORDS.** For the purpose of section 1861(v) (l) (1) of the Social Security Act, as amended, and any regulations promulgated pursuant thereto:

a. Until the expiration of five (5) years after the furnishing of professional services pursuant to this Agreement or after the Final Cost Report Settlement Audit of the year in which services were provided, whichever is longer, COUNTY shall make available, upon written request of the Secretary of Health and Human Services or upon request of the Comptroller General of the United States, or any of their duly authorized representatives, this Agreement and books, documents and records of the provider that are necessary to certify the nature and extent of costs of professional services rendered pursuant to this Agreement; and

b. Until the expiration of five (5) years after the furnishing of professional services pursuant to this Agreement or after the Final Cost Report Settlement Audit of the year in which services were provided, whichever is longer, CONTRACTOR shall make available, upon written request of the Secretary of Health and Human Services or upon request of the Comptroller General of the United States, or any of their duly authorized representatives, this Agreement and books, documents and records of the provider that are necessary to certify the nature and extent of costs of professional services rendered pursuant to this Agreement; and

c. If CONTRACTOR carries out any of the duties of this Agreement through a subcontract with a value or cost of $10,000.00 or more over a twelve (12) month period with a related organization, such subcontract shall contain a clause to the effect that until the expiration of five years after the furnishing of professional services pursuant to such subcontract or after the Final Cost Report Settlement Audit of the year in which services were provided, whichever is longer, the related organization shall make available, upon written request of the Secretary of Health and Human Services,
or upon request of the Comptroller General of the United States, or any of their duly authorized representatives, the subcontract and books, documents and records of such organization that are necessary to verify the nature and extent of costs of professional services rendered pursuant to such subcontract.

d. If COUNTY is requested to disclose books, documents or records pursuant to this paragraph for purpose of an audit, COUNTY shall notify CONTRACTOR of the nature and scope of such request and COUNTY shall make available, upon written request of CONTRACTOR, all such books, documents or records. This paragraph shall pertain solely to the maintenance and disclosure of specified records and shall have no effect on the right of the parties to this Agreement to make assignments or delegations.

e. If CONTRACTOR is requested to disclose books, documents or records pursuant to this paragraph for purpose of an audit, CONTRACTOR shall notify COUNTY of the nature and scope of such request and CONTRACTOR shall make available, upon written request of COUNTY, all such books, documents or records. This paragraph shall pertain solely to the maintenance and disclosure of specified records and shall have no effect on the right of the parties to this Agreement to make assignments or delegations.

f. CONTRACTOR shall maintain any and all ledgers, books of account, invoices, vouchers, cancelled checks and other records or documents evidencing or relating to charges for services or expenditures or disbursements charged to COUNTY for a minimum of five (5) years, or for any longer period required by law, from the date of final payment to the CONTRACTOR under this Agreement. Any records or documents required to be maintained shall be made available for inspection, audit and/or copying at any time during regular business hours, upon oral or written request of COUNTY.

17. **DRUG-FREE WORK PLACE.** CONTRACTOR shall provide a drug-free work place and shall comply with the requirements of the Drug-Free Work Place Act of 1990 (Government Code section 8350 et seq.).

18. **CULTURAL COMPETENCE.** CONTRACTOR and COUNTY shall use a set of professional skills, behaviors, attitudes, and policies in their systems that enable the system, or those participating in the system, to work effectively in meeting the cross-cultural needs of patients.

19. **INDEPENDENT CONTRACTOR.** CONTRACTOR is an independent contractor in the performance of its services and obligations under this Agreement. This Agreement is not intended to constitute a partnership or joint venture. Because of its status as an independent contractor,
CONTRACTOR shall have absolutely no right to employment rights and benefits available to COUNTY employees. CONTRACTOR shall be solely liable and responsible for providing to, or on behalf of, its employees all legally-required employee benefits.

20. **INTEREST OF PUBLIC OFFICIALS.** No officer, agent, or employee of COUNTY during his/her tenure or for one (1) year thereafter shall have any interest, direct or indirect, in this Agreement or the proceeds thereof.

21. **DISPUTE RESOLUTION.**

The parties acknowledge their desire for a long-term and mutually beneficial business relationship and, to that end, agree to attempt to resolve any disagreements or disputes promptly and in good faith, and to make themselves available for business discussions intended to facilitate the resolution of such disagreement of dispute.

22. **WAIVER.** A waiver of any of the terms and conditions hereof shall not be construed as a general waiver by CONTRACTOR or COUNTY.

23. **ENTIRE AGREEMENT.** This Agreement constitutes the complete understanding of the parties and supersedes any and all other agreements, either oral or written, between the parties with respect to the subject matter contained herein, and no other agreement, statement, or promise relating to the subject matter of this Agreement shall be valid or binding. In the event of any direct conflict between the body of this Agreement and its schedules or exhibits, the body of the Agreement shall control. This Agreement may not be modified, amended, or changed except by a writing or writings signed by the duly authorized representative of the respective parties.

24. **CONTROLLING LAW**

a. **Laws of California Control.** The terms and conditions of this Agreement and all its Exhibits and rights and duties hereunder shall be governed by and construed in accordance with the laws of the State of California.

b. **Rules of Interpretation,** no provision of this Agreement shall be interpreted for or against a party because that party or its legal representative drafted such provision and this Agreement shall be construed as if jointly prepared by the parties.

25. **VENUE.** If either party files a lawsuit to enforce any provision of this Agreement, the proper venue for such a lawsuit shall be the MARIPOSA County Superior Court.
26. **PARTIAL INVALIDITY.** Should any portion of this Agreement be held unenforceable or inoperative for any reason, such invalidity shall not affect any other portion of this Agreement, but the remainder shall be as effective as though such ineffective portion had not been contained herein.

27. **GENDER.** Words used in the masculine shall apply to the feminine where applicable, and vice versa. Any personal pronoun shall include any gender or number according to the context.

28. **ASSIGNMENT.** This Agreement and the rights and obligations hereunder are not assignable by either party. Notwithstanding the foregoing, CONTRACTOR shall have the right to utilize subcontractors, provided such subcontractors comply with the provisions of this Agreement. The use of a subcontractor will not release CONTRACTOR from its obligations hereunder.

29. **NOTICES.** All notices, offers, elections, and other communications under this Agreement shall be in writing and shall be deemed to have been duly given on the date of service if served personally on the party to whom notice is to be given, or within forty-eight (48) hours after mailing, if mailed to the party to whom notice is to be given by first class mail, registered or certified, postage prepaid, and properly addressed to the party at the party's address below, or any other address that any party may designate by written notice to the other.

CONTRACTOR: Kings View Corporation  
Attn: Leon Hoover, CEO  
7170 N. Financial Drive, Suite 110  
Fresno, CA 93720  
(559) 256-0100 ext. 3011

COUNTY: Mariposa County  
Department of Human Services  
Attn: Chevon Kothari, Director  
5326 Lemea Lane  
Mariposa, CA 95338  
(209) 966-2000

30. **INTERPRETATION.** The language in all parts of this Agreement shall be, in all cases, construed according to its fair meaning and not strictly for or against either party and any ambiguities shall not be strictly construed for or against either party.

IN WITNESS WHEREOF, COUNTY and CONTRACTOR have executed this Agreement on the day and year set forth below.
“COUNTY”
COUNTY OF MARIPOSA

By: Rosemarie Smallcombe
Board of Supervisors Chair

Date: 7/24/18

APPROVED AS TO LEGAL FORM
COUNTY COUNSEL

By: Steven W. Dahlem
County Counsel

Date: 7-24-18

“CONTRACTOR”
KINGS VIEW CORPORATION

By: Jim Rodriguez, CFO Kings View

Date: 6/27/18
EXHIBIT A
MARIPOSA COUNTY
ELECTRONIC HEALTH RECORD SYSTEM (EHRS) SUPPORT
SCOPE OF SERVICES

This Scope of Services is applicable to the utilization of the current Cerner behavioral health software. It is not intended to address issues relative to office application documents, files and network support.

At the time of termination, CONTRACTOR agrees to provide a copy of the current system with all necessary data files, structures and associated tables.

CONTRACTOR shall comply with the privacy and security provisions of the Health Information Portability and Accountability Act of 1996 (HIPAA) and HITECH Act (Public Law 111-005) and all related state and federal regulations for the maintenance and storage of system data and files.

CONTRACTOR shall provide the following services:

A. Cerner Support

1. CONTRACTOR supports (as defined in this Scope of Services) the following Cerner software products currently in use or planned by COUNTY:
   a. Client Data System
   b. Scheduling System
   c. Assessment and Treatment Planning (ATP) System
   d. Doctor’s Home Page and E-Prescribing System

2. CONTRACTOR will provide consultative services to COUNTY concerning current and new MIS design for Client Data, Scheduling and ATP assessments, treatment plans and progress notes when requested by COUNTY as needed.

3. CONTRACTOR will maintain a LIVE, TRAIN and TEST version of Cerner on CONTRACTOR’S servers.

4. CONTRACTOR will advise on and load Cerner promotions:
   a. Write and provide COUNTY to the best of CONTRACTOR’S abilities a thorough risk-benefit analysis for all Cerner promotions based on supplied documentation from Cerner Software to include:
      • Summary of the purpose(s)
      • List of known and potential risks
- List of known and potential benefits
- Reporting implications
- Identify any additional report functionality

b. Test Cerner promotions and work out known bugs.

c. Load promotions only with COUNTY authorization and in accordance to Cerner Software policy and procedures. COUNTY will provide CONTRACTOR with a list of COUNTY staff allowed to authorize installation of promotions.

d. COUNTY is aware failure to load all Cerner promotions in sequence and as supplied by Cerner could impact CONTRACTOR’S ability to meet scope of services as discussed in Exhibit A and Cerner support.

5. CONTRACTOR will provide assistance with MIS customization including workflow redesign, keying guides, management forms, WYSIWYG design and development, training materials and other assistance as it relates to Client Data, Scheduling and ATP assessments, treatment plans, progress notes, clinician and doctor’s homepage as needed and requested by COUNTY.

6. CONTRACTOR will provide the following forms of documentation:
   a. Develop manuals for AR and state reporting delineating CONTRACTOR and COUNTY tasks and responsibilities.
   b. Provide risk/benefit analysis of Cerner promotions (see B.4 above).
   c. Provide COUNTY with Kings View status reports as mutually agreed. Report format, content and frequency will be determined and modified as needed by mutual agreement.
   d. Document COUNTY’S system schema as it relates to Kings View provision of services and at the discretion of COUNTY’S information technology management.
   e. Special projects (audits, state reviews, other ad hoc requests) as needed by COUNTY including the following:
      - Consultation and assistance with special projects
      - Data/Reports
      - Participate in Meetings/Calls

7. Status Reporting
   a. Meet quarterly at mutually agreed time with COUNTY to identify problems/issues and agree to solutions.
   b. Complete Kings View status reports (see 6.c above)
B. General Cerner Support Responsibilities

1. CONTRACTOR will monitor and maintain network connections between CONTRACTOR’S server and the COUNTY site.

2. CONTRACTOR shall facilitate a storage and backup system by utilizing CONTRACTOR’S MIS staff, Fresno storage facility and off-site tape backup processes. CONTRACTOR shall comply with the privacy and security provisions of the Health Information Portability and Accountability Act of 1996 (HIPAA) and all related state and federal regulations for the maintenance and storage of system data and files.

3. CONTRACTOR will use all reasonable efforts to work with Cerner Software and COUNTY to adhere to California compliance requirements set forth by California Department of Mental Health and California Department of Alcohol and Drug Programs.

4. CONTRACTOR will work jointly with COUNTY to obtain certification of compliance with all applicable Medicare, Medi-Cal and HIPAA billing requirements and regulations.

5. CONTRACTOR will maintain management forms and related data tables for state reporting, billing and security.

6. CONTRACTOR will provide specialized reporting as required by COUNTY/state as a result of mandatory audit/reporting requirements. CONTRACTOR will provide customized reporting formats, forms, and update data tables as required for COUNTY’S operation, and CONTRACTOR will generate reports for COUNTY as requested.

7. CONTRACTOR will use all reasonable efforts to see that all local, state and federal requirements are met with the times lines set by those agencies.

8. CONTRACTOR and COUNTY will mutually agree upon an activity deadline calendar for the purpose of defining the roles, responsibilities and processes for each party.

9. CONTRACTOR will notify COUNTY of all upgrades or modifications to the system which affect billing or other operational functions.

10. CONTRACTOR will develop a Disaster Plan that addresses at a minimum a detailed back up plan for two crisis scenarios:
    - Power outage beyond 1 work day and
    - Corrupion of data.

C. Monthly Medi-Cal Billing and Accounts Receivable (A/R) Cycle Support Cerner Software:

1. CONTRACTOR will provide all month-end processes for the completion of A/R and Medi-Cal billing processes at CONTRACTOR’S MIS office, and provide support and assistance to COUNTY staff for month-end A/R and billing processes for all requirements set forth by California Department of
2. CONTRACTOR will provide training and support to program staff for program processes required in the month-end process.

3. CONTRACTOR will use all reasonable efforts to have its system comply with all state billing and statistical reporting requirements for timely, accurate and complete processing of electronic claims or files. COUNTY will be the sole party responsible for ensuring timeliness, accuracy and the complete entry of data by COUNTY staff necessary for CONTRACTOR to submit electronic claims or files.

4. CONTRACTOR will perform data review to ensure HIPAA compliance for electronic submittal to the state, Medicare or other third party payer in preparation for CONTRACTOR’s electronic data submission. CONTRACTOR will post electronic Explanation of Benefits (EOB) electronic files from the state, Medicare or other third party payer in accordance with Cerner Software policy and procedures.

5. CONTRACTOR will perform data review to ensure data from COUNTY’S software meets requirements for electronic submission to California Outcomes Measurement System (CalOMS) and Client and Service Information (CSI).

6. CONTRACTOR will maintain call log identifying consequential issues referred for help and provide management with trend report. Routine questions will not be tracked.

7. CONTRACTOR will assist and monitor EOB (HIPAA 835) – Explanation of Benefits denials and report back to COUNTY management monthly with status updates.

8. When CONTRACTOR makes changes to existing information systems software that results in a need for consultation or training of COUNTY employees, the associated costs will be paid by CONTRACTOR. On-site training will be paid by COUNTY as outlined in Exhibit D.

D. Ongoing Support of Application Service Provider (ASP) Storage Facility and Network Connectivity

1. CONTRACTOR will provide hosting and support of behavioral health software in a HIPAA compliant facility.

2. CONTRACTOR will provide access for up to 25 concurrent users for utilization of Cerner Software via Citrix.

3. CONTRACTOR will notify COUNTY in advance of all upgrades or modification to the data center servers and software which affect the EHR, billing or operations, except in cases where immediate action is required to protect data, hardware or personnel.

4. CONTRACTOR will monitor and maintain network connections between CONTRACTOR’S Fresno Data Center and the COUNTY site.

5. CONTRACTOR will implement a disaster recovery plan tested annually
and provide COUNTY with documentation detailing the plan and annual test results.

6. CONTRACTOR will during FY 2016-2017 purchase Cyber Liability Insurance and add COUNTY as a named entity.

7. When COUNTY makes changes to COUNTY owned hardware or network infrastructure COUNTY may request technical support services from CONTRACTOR. These requested services will be provided by CONTRACTOR and paid for by COUNTY at a daily rate per staff plus expenses as outlined in Exhibit “B”. All services will be pre-approved via purchase requisitions by COUNTY’s Mental Health Director.

E. COUNTY Staff Support

1. CONTRACTOR will maintain a help desk as support to COUNTY staff relative to behavioral health software in order to attend to user inquiries and problems. Help desk support shall be available to COUNTY staff Monday through Friday from 8:00 a.m. until 5:00 p.m., excluding COUNTY holidays. COUNTY will designate staff members who will be authorized to access help desk support on behalf of COUNTY staff.

2. COUNTY staff will be provided with access to CONTRACTOR’S MIS seven days a week, 24 hours a day.

3. CONTRACTOR will provide remote support as needed via cell messaging and email after normal business hours, including all holidays.

4. CONTRACTOR will provide COUNTY staff with 24 hours advance notice when planned system maintenance time is required.

F. One Time/First Year Services

1. CONTRACTOR will provide a monthly review of data quality and completeness and submit status report to COUNTY management for mental health and drug and alcohol reporting.

2. CONTRACTOR will coordinate with COUNTY staff regarding the preparation of cost reporting data and be responsible for submission to the state of annual cost reports for mental health and drug and alcohol treatment.
EXHIBIT B
MARIPosa COUNTY
ELECTRONIC HEALTH RECORD SYSTEM (EHRS)
ANNUAL BUDGET

<table>
<thead>
<tr>
<th>Scope of Services Item</th>
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<tbody>
<tr>
<td>A. KV-Cerner Support</td>
<td>$28,047</td>
</tr>
<tr>
<td>B. General KV-Cerner Support Responsibilities</td>
<td>$15,307</td>
</tr>
<tr>
<td>C. Monthly A/R Billing and State Reporting</td>
<td>$16,781</td>
</tr>
<tr>
<td>D. Application Service Provider (ASP) Storage Facility and Network Connectivity, Disaster Recovery, Cyber Liability Coverage</td>
<td>$38,819</td>
</tr>
<tr>
<td>E. Helpdesk</td>
<td>$14,940</td>
</tr>
<tr>
<td>F. Administrative Services</td>
<td>$28,499</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>$142,393</strong></td>
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</tbody>
</table>
EXHIBIT C

MARIPOSA COUNTY

ANNUAL CERNER SOFTWARE SUPPORT AGREEMENT COST

ANNUAL RECURRING COSTS $47,635

a. Support Agreement: $33,479
b. Annual Visual Data Flex Subscription 35 Concurrent Users: $388
c. Annual Database Driver Subscription 35 Concurrent Users: $890
d. USX – Ultra-Sensitive Exchange: $2,670
e. Patient Portal: $5,340
f. EPCS: $350
g. DSM-V: $900
h. Sales Tax: $3,618
i. Recurring annual cost start at the contract effective date.
EXHIBIT D

MARIPOSA COUNTY

ONSITE IMPLEMENTATION AND TRAINING SERVICES

On-site implementation and training services for Cerner Software Client Data and ATP (Assessments, Treatment Plans and Progress Notes) requiring multiple days or overnight stays. Travel, lodging and meals will be invoiced separately as used. Total cost not to exceed $3,000 during the term of this Agreement.

- Daily per diem rate for food and lodging: $170.00 per day, per staff/trainer
- Travel expenses at cost depending on mode of transportation. CONTRACTOR must submit original receipts for reimbursement of travel expenses.
Mariposa County, California

ADDENDUM TO CONTRACT WITH Kingsview Corporation
HIPAA BUSINESS ASSOCIATE AGREEMENT

This Addendum Agreement supplements and is made a part of the contract between Kings View (hereinafter “BUSINESS ASSOCIATE”) and the COUNTY OF MARIPosa (hereinafter “COUNTY”).

BUSINESS ASSOCIATE shall comply with, and assist the COUNTY in complying with, the privacy and security requirements of the Health Insurance Portability and Accountability Act (HIPAA) and HITECH Act public law 111-005 and all related State and Federal Regulations for the maintenance and storage of system data and files. These Acts (including but not limited to Title 42, United States Code, Section 1320d et. seq.) and its implementing regulations (including but not limited to Title 45, Code of Federal Regulations (CFR), Parts 142, 160, 162 and 164) are hereinafter referred to as the “Privacy and Security Rules.”

1. Acknowledges that in receiving, transmitting, transporting, storing, processing, or otherwise dealing with any information received from the Program identifying or otherwise relating to the patients in the Program (“protected information”), it is fully bound by the provisions of the federal regulations governing the Confidentiality of Alcohol and Drug Abuse Patient Records, 42 C.F.R. Part 2; and the Health Insurance Portability and Accountability Act (HIPAA), 45 C.F.R. Parts 142, 160, 162 and 164, and may not use or disclose the information except as permitted or required by this Agreement or by law;

2. Agrees to resist any efforts in judicial proceedings to obtain access to the protected information except as expressly provided for in the regulations governing the Confidentiality of Alcohol and Drug Abuse Patient Records, 42 C.F.R. Part 2.

1.0 -TERM

1.1-Term: This agreement shall be effective upon execution, and remain in effect until all requirements of section 7.1 are fully met. Thus, the rights and responsibilities of BUSINESS ASSOCIATE may survive the termination of COUNTY’s underlying contract or agreement with BUSINESS ASSOCIATE.

2.0-USE OR DISCLOSURE OF PROTECTED HEALTH INFORMATION

2.1-Further Disclosure and Safeguard of PHI: BUSINESS ASSOCIATE shall not use or allow the disclosure of private health information and/or records (hereinafter “Protected Health Information” or “PHI”) except as allowed by this Agreement or required by law. BUSINESS ASSOCIATE shall take appropriate steps to prevent use or disclosure of PHI. The term “PHI”, as relevant to this agreement, refers to protected health information received by BUSINESS ASSOCIATE from the COUNTY, or created or received by BUSINESS ASSOCIATE on behalf of the COUNTY.

2.2-Use and Disclosure of Protected Health Information: BUSINESS ASSOCIATE is authorized to use or disclose PHI to perform its obligations under its contract with the COUNTY. BUSINESS ASSOCIATE may not use or disclose PHI in any manner which (1) violates this agreement, (2) is prohibited by the “Privacy or Security Rule,” or (3) would exceed the scope of how the COUNTY itself could use or disclose the information.

2.3-Obligations of Third Parties. If BUSINESS ASSOCIATE provides PHI to a third party, such as a subcontractor, BUSINESS ASSOCIATE shall ensure that the third party complies with HIPAA and this Addendum.

3.0 SECURITY OF ELECTRONIC PROTECTED HEALTH INFORMATION (E PHI)

3.1 – Implementation of Safeguards: BUSINESS ASSOCIATE agrees to implement administrative, physical and technical safeguards that reasonably and appropriately protect the confidentiality, integrity and availability of the electronic protected health information that it creates, receives, maintains or transmits on behalf of the COUNTY.
3.2 – Obligations of Third Parties: If BUSINESS ASSOCIATE provides EPHI to a third party, such as a subcontractor, BUSINESS ASSOCIATE shall ensure that the third party agrees to implement reasonable and appropriate safeguards to protect it.

3.3 – Reporting Security Incidents: BUSINESS ASSOCIATE agrees to immediately report to the COUNTY any security incident of which it becomes aware. Security incidents include attempted or successful unauthorized access, use disclosure, modification, or destruction of information or interference with system operations in an information system.

4.0 - DOCUMENTATION OF DISCLOSURES OF PROTECTED HEALTH INFORMATION

4.1 - Documentation of Uses and Disclosures: BUSINESS ASSOCIATE shall document any disclosures of PHI in a manner that would allow the COUNTY to respond to a request by an Individual for an “accounting of disclosures of PHI” in accordance with Title 45, CFR, Section 164.528.

4.2 - Unauthorized Use or Disclosure of PHI: BUSINESS ASSOCIATE shall report to COUNTY any use or disclosure of PHI prohibited by this Agreement or the Privacy Rule. BUSINESS ASSOCIATE shall mitigate, to the extent practicable, any harmful effect known to BUSINESS ASSOCIATE resulting from such use or disclosure.

4.3 - Accounting of Disclosures: BUSINESS ASSOCIATE shall provide to COUNTY, or an Individual, all information necessary to respond to an Individual’s request for an “accounting of disclosures of PHI”. BUSINESS ASSOCIATE agrees to disclose such information in the time and manner designated by the County.

5.0 - ACCESS

5.1 - Access to PHI: At the request of the COUNTY, BUSINESS ASSOCIATE shall provide access to PHI to an Individual or the COUNTY as needed to meet the requirements of the Privacy Rule. Access shall be provided in the time and manner designated by COUNTY.

5.2 - Records Available to County and Secretary of HHS: BUSINESS ASSOCIATE shall make available to COUNTY or to the Secretary of the United States Department of Health and Human Services any of its books and records related to the use, disclosure, and protection of PHI. Such release shall be for the purpose of investigating or auditing the COUNTY’s compliance with the Privacy and Security Rules and shall occur in the time and manner designated by the COUNTY or the Secretary.

6.0 - AMENDMENT TO PHI

6.1 - Amendment to PHI: In accordance with Title 45, CFR, Section 164.526, BUSINESS ASSOCIATE agrees to amend PHI in its possession as requested by an Individual, or as directed by the COUNTY. Such amendment shall be in the time and manner designated by COUNTY.

7.0 - TERMINATION OF AGREEMENT AND DESTRUCTION OF DOCUMENTS

7.1 - Material Breach: COUNTY will terminate its contract with BUSINESS ASSOCIATE if (1) the COUNTY becomes aware that BUSINESS ASSOCIATE is in violation of this Agreement or the Privacy or Security Rules and (2) after notice, BUSINESS ASSOCIATE has failed to take reasonable steps to cure the violation. A violation may be a single material breach of, or a pattern of activity that violates, this Agreement or the Privacy and Security Rules. If termination is not feasible, COUNTY may report the problem to the Secretary of HHS as required by HIPAA.
8.0 - DESTRUCTION OF PHI

8.1 -Retention, Transfer and Destruction of Information on Contract Termination: Upon termination of this Agreement or the COUNTY'S underlying contract with BUSINESS ASSOCIATE, BUSINESS ASSOCIATE shall return or destroy all PHI as directed by the COUNTY to comply with HIPAA.

This provision shall apply to PHI in possession of subcontractors or agents of BUSINESS ASSOCIATE. BUSINESS ASSOCIATE, its agents or subcontractors, shall retain no copies of the PHI.

If returning or destroying the PHI is not feasible, BUSINESS ASSOCIATE shall provide COUNTY notice that return or destruction of the PHI is not feasible. If the COUNTY agrees that the return or destruction is not feasible, BUSINESS ASSOCIATE shall continue to extend the protections of this Agreement to the PHI. This protection shall remain in effect until the PHI is returned or destroyed.

9.0 -INTERPRETATION

9.1 -Resolution of Ambiguity. Any ambiguity in this Agreement shall be resolved to permit the COUNTY to comply with the Privacy and Security Rules. Definition of terms shall be as used in HIPAA.

10.0 -AMENDMENTS

10.1 -Amendment of Agreement. The Parties may amend this Agreement as necessary to ensure HIPAA compliance.

Execution.

COUNTY OF MARIPOSA

Signed: ____________________________
Name: Rosemarie Smallcombe
Title: Board Chair
Address: ____________________________
Phone: 209-946-3222
Date: 7/24/2018

KINGS VIEW (Business Associate)

Signed: ____________________________
Name: Jim Rodriguez
Title: CFO
Address: 7170 N. Financial Dr. Ste 110
Fresno, CA. 93720
Phone: 559-251-0100
Date: 6/27/2018

Last Revised: May 21, 2018

APPROVED AS TO FORM:

______________________________
STEVEN W. DAHLEM
COUNTY COUNSEL