RESOLUTION - ACTION REQUESTED 2019-386

MEETING: July 2, 2019

TO: The Board of Supervisors

FROM: Mike Healy, Public Works Director

RE: Approve the Ben Hur Road Agreement

RECOMMENDATION AND JUSTIFICATION:
Approve the Program Supplement Agreement with the State of California Department of Transportation for the Ben Hur Road Project; and Authorize the Director, Public Works & Transportation to Sign the Agreement.

Staff has prepared the necessary State documents for the authorization of Plan, Specifications and Estimates (PS&E) for Project Number RPL-5940(135) - Ben Hur Road (PM 15.00 to 18.50). The California Transportation Commission (CTC) approved the allocation at their May 15, 2019 meeting. A resolution is required to authorize the Director, Public Works & Transportation to execute the Program Supplement Agreement.

BACKGROUND AND HISTORY OF BOARD ACTIONS:
The Board has approved similar Program Supplement Agreements in the past.

ALTERNATIVES AND CONSEQUENCES OF NEGATIVE ACTION:
Should the Board elect to not approve, the PS&E funding would not be available, affecting completion of the project.

FINANCIAL IMPACT:
Funding is currently programmed in fund 511-1311-781-0649 STIP

ATTACHMENTS:
Program Supplement Agreement Ben Hur Road (PDF)

RESULT: ADOPTED BY CONSENT VOTE [UNANIMOUS]
MOVER: Marshall Long, District III Supervisor
SECONDER: Merlin Jones, District II Supervisor
AYES: Merlin Jones, Marshall Long, Kevin Cann, Miles Menetrey
EXCUSED: Rosemarie Smallcombe
PROGRAM SUPPLEMENT NO. S64
to
ADMINISTERING AGENCY-STATE AGREEMENT
FOR STATE FUNDED PROJECTS NO 00086S

Adv Project ID  Date:  June 6, 2019
1019000139  Location:  10-MPA-0-CR
Project Number:  RPL-5940(135)
E.A. Number:  
Locode:  5940

This Program Supplement, effective 05/16/2019, hereby adopts and incorporates into the Administering Agency-State Agreement No. 00086S for State Funded Projects which was entered into between the ADMINISTERING AGENCY and the STATE with an effective date of 09/20/07 and is subject to all the terms and conditions thereof. This PROGRAM SUPPLEMENT is executed in accordance with Article I of the aforementioned Master Agreement under authority of Resolution No. 2019-386 approved by the ADMINISTERING AGENCY on 7/22/2019 (See copy attached).

The ADMINISTERING AGENCY further stipulates that as a condition to the payment by the State of any funds derived from sources noted below encumbered to this project, Administering Agency accepts and will comply with the Special Covenants and remarks set forth on the following pages.

PROJECT LOCATION:
Ben Hur Road (PM 15.00 to 18.50) Near the Town of Mariposa

TYPE OF WORK:  Road Rehabilitation

<table>
<thead>
<tr>
<th>Estimated Cost</th>
<th>State Funds</th>
<th>Matching Funds</th>
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<tbody>
<tr>
<td>$25,000.00</td>
<td>$25,000.00</td>
<td>$0.00</td>
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COUNTY OF MARIPOSA

By
Title  Public Works-Transportation Director
Date  6/13/19
Attest  Deputy Clerk of the Board

STATE OF CALIFORNIA
Department of Transportation

By  Robert Gaudet
Title  Chief, Office of Project Implementation
Division of Local Assistance
Date  8-16-2019

I hereby certify upon my personal knowledge that budgeted funds are available for this encumbrance:

Accounting Officer  [Signature]  Date  6/13/19  $25,000.00

APPROVED AS TO FORM

STEVEN W. DAHLEM
COUNTY COUNSEL
SPECIAL COVENANTS OR REMARKS

1. This PROJECT is programmed to receive funding from the State Transportation Improvement Program (STIP). Funding may be provided under one or more components. A component(s) specific fund allocation is required, in addition to other requirements, before reimbursable work can occur for the component(s) identified. Each allocation will be assigned an effective date and identify the amount of funds allocated per component(s).

This PROGRAM SUPPLEMENT has been prepared to allow reimbursement of eligible PROJECT expenditures for the component(s) allocated. The start of reimbursable expenditures is restricted to the later of either 1) the effective date of the Master Agreement, 2) the effective date of the PROGRAM SUPPLEMENT, or 3) the effective date of the component specific allocation.

2. STATE and ADMINISTERING AGENCY agree that additional funds made available by future allocations will be encumbered on this PROJECT by use of a STATE approved Allocation Letter and Finance Letter. ADMINISTERING AGENCY agrees that STATE funds available for reimbursement will be limited to the amount allocated by the California Transportation Commission (CTC) and/or the STATE.

3. Upon ADMINISTERING AGENCY request, the CTC and/or STATE may approve supplementary allocations, time extensions, and fund transfers between components. An approved time extension will revise the timely use of funds criteria, outlined above, for the component(s) and allocation(s) requested. Approved supplementary allocations, time extensions, and fund transfers between components made after the execution of this PROGRAM SUPPLEMENT will be documented and considered subject to the terms and conditions thereof.

Documentation for approved supplementary allocations, time extensions, and fund transfers between components will be a STATE approved Allocation Letter, Fund Transfer Letter, Time Extension Letter, and Finance Letter, as appropriate.

4. This PROJECT will be administered in accordance with the CTC STIP guidelines, as adopted or amended, and the STATE Procedures for Administering Local Grant Projects in the State Transportation Improvement Program (STIP), the Local Assistance Program Guidelines, and the Local Assistance Procedures Manual. The submittal of invoices for project costs shall be in accordance with the above referenced publications and the following.

5. The ADMINISTERING AGENCY shall invoice STATE for environmental & permits, plans specifications & estimate, and right-of-way costs no later than 180 days after the end of last eligible fiscal year of expenditure. For construction costs, the ADMINISTERING AGENCY has 180 days after project completion to make the final payment to the contractor and prepare the final Report of Expenditures and final invoice, and submit to STATE for verification and payment.

6. All obligations of STATE under the terms of this Agreement are subject to the

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SPECIAL COVENANTS OR REMARKS

appropriation of resources by the Legislature and the encumbrance of funds under this Agreement. Funding and reimbursement are available only upon the passage of the State Budget Act containing these STATE funds.

7. Award information shall be submitted by the ADMINISTERING AGENCY to the District Local Assistance Engineer immediately after the award. Failure to do so will cause delay in processing the invoices for the construction component. As a minimum, the award information should have the following: Project number, project description, PPNO, date the project was advertised, award amount, bid opening date, award date and estimated completion date.

8. This PROJECT is subject to the timely use of funds provisions enacted by Senate Bill 45 (SB 45), approved in 1997, and subsequent CTC guidelines and State procedures approved by the CTC and STATE, as outlined below:

Funds allocated for the environmental & permits, plan specifications & estimate, and right-of-way components are available for expenditure until the end of the second fiscal year following the year in which the funds were allocated.

Funds allocated for the construction component are subject to an award deadline and contract completion deadline. ADMINISTERING AGENCY agrees to award the contract within 6 months of the construction fund allocation and complete the construction or vehicle purchase contract within 36 months of award.

9. ADMINISTERING AGENCY agrees, as a minimum, to submit invoices at least once every six months commencing after the funds are encumbered for each phase by the execution of this Project Program Supplement Agreement, or by STATE's approval of an applicable Finance Letter. STATE reserves the right to suspend future authorizations/obligations for Federal aid projects, or encumbrances for State funded projects, as well as to suspend invoice payments for any on-going or future project by ADMINISTERING AGENCY if PROJECT costs have not been invoiced by ADMINISTERING AGENCY for a six-month period.

If no costs have been invoiced for a six-month period, ADMINISTERING AGENCY agrees to submit for each phase a written explanation of the absence of PROJECT activity along with target billing date and target billing amount.

ADMINISTERING AGENCY agrees to submit the final report documents that collectively constitute a "Report of Expenditures" within one hundred eighty (180) days of PROJECT completion. Failure of ADMINISTERING AGENCY to submit a "Final Report of Expenditures" within 180 days of PROJECT completion will result in STATE imposing sanctions upon ADMINISTERING AGENCY in accordance with the current Local Assistance Procedures Manual.

10. Any State and Federal funds that may have been encumbered for this project are
SPECIAL COVENANTS OR REMARKS

available for disbursement for limited periods of time. For each fund encumbrance the
limited period is from the start of the fiscal year that the specific fund was appropriated
within the State Budget Act to the applicable fund Reversion Date shown on the State
approved project finance letter. Per Government Code Section 16304, all project funds
not liquidated within these periods will revert unless an executed Cooperative Work
Agreement extending these dates is requested by the ADMINISTERING AGENCY and
approved by the California Department of Finance.

ADMINISTERING AGENCY should ensure that invoices are submitted to the District
Local Assistance Engineer at least 75 days prior to the applicable fund Reversion Date to
avoid the lapse of applicable funds. Pursuant to a directive from the State Controller's
Office and the Department of Finance; in order for payment to be made, the last date the
District Local Assistance Engineer can forward an invoice for payment to the
Department's Local Programs Accounting Office for reimbursable work for funds that are
going to revert at the end of a particular fiscal year is May 15th of the particular fiscal
year. Notwithstanding the unliquidated sums of project specific State and Federal funding
remaining and available to fund project work, any invoice for reimbursement involving
applicable funds that is not received by the Department's Local Programs Accounting
Office at least 45 days prior to the applicable fixed fund Reversion Date will not be paid.
These unexpended funds will be irrevocably reverted by the Department's Division of
Accounting on the applicable fund Reversion Date.

11. ADMINISTERING AGENCY agrees to comply with the requirements in 2 CFR Part 200,
Uniform Administrative Requirements, Cost Principles, and Audit Requirements for
Federal Awards (applicable to Federal and State Funded Projects).