RESOLUTION - ACTION REQUESTED 2020-383

MEETING: July 7, 2020

TO: The Board of Supervisors

FROM: Mike Healy, Public Works Director

RE: Authorize the Public Works Director to Execute Agreements for the Ben Hur Rehab Project

RECOMMENDATION AND JUSTIFICATION:
Approve an Agreement with the State of California for the Ben Hur Road Rehabilitation Project; and Authorize the Director of Public Works and Transportation to Sign the Agreement.

The County has applied for and received notice that they have been awarded $1,115,000.00 in State Transportation Funding to Design, Bid and Construct the Ben Hur Road Rehabilitation Project. This Project will Rehabilitate Ben Hur From Mile Post 15.00 to 18.50.

Caltrans will be forwarding the formal project agreements within the next two weeks and requires that they be returned promptly so that the funding allocation for this project can be finalized and secured. Staff seeks Board approval to authorize the Director, Public Works & Transportation to execute these agreements upon approval of County Counsel as to form in order to secure the funding for this critical infrastructure project.

BACKGROUND AND HISTORY OF BOARD ACTIONS:
The Board has authorized the Director, Public Works & Transportation to execute agreements of this type in the past.

ALTERNATIVES AND CONSEQUENCES OF NEGATIVE ACTION:
Delay in returning fully executed agreements will cause the delay in receiving full secure funding authorization by the State of CA

FINANCIAL IMPACT:
There is no financial impact as this project is funded at the 100% level through State Transportation Funding resources.

ATTACHMENTS:
Program Supplement Agreement Ben Hur Road (CON)  (PDF)
RESULT:    ADOPTED [UNANIMOUS]
MOVER:    Miles Menetrey, District V Supervisor
SECONDER: Rosemarie Smallcombe, District I Supervisor
AYES: Rosemarie Smallcombe, Marshall Long, Miles Menetrey
EXCUSED: Merlin Jones, Kevin Cann
Hello there,

Attached are executed copies of Program Supplement Agreements and corresponding approved Finance Letters for the following projects:

- PSA No. S64 Rev. 1 for Project RPL-5940(135)

In an effort to expedite reimbursable funds to your Local Agency, we will no longer mail hardcopy documents. All agreements, finance letters, and allocation letters will be sent electronically. Please continue to send invoices to your District Local Assistance Engineer per DLAE instructions.

If you have any questions, feel free to email PISB.help.desk@dot.ca.gov.

Thank you,

Ronnie Lesniak
This Program Supplement, effective 05/16/2019, hereby adopts and incorporates into the Administering Agency-State Agreement No. 00088S for State Funded Projects which was entered into between the ADMINISTERING AGENCY and the STATE with an effective date of 09/20/07 and is subject to all the terms and conditions thereof. This PROGRAM SUPPLEMENT is executed in accordance with Article I of the aforementioned Master Agreement under authority of Resolution No. 2020-383 approved by the ADMINISTERING AGENCY on July 7, 2020 (See copy attached).

The ADMINISTERING AGENCY further stipulates that as a condition to the payment by the State of any funds derived from sources noted below encumbered to this project, Administering Agency accepts and will comply with the Special Covenants and remarks set forth on the following pages.

PROJECT LOCATION: Ben Hur Road (PM 15.00 to 18.50) Near the Town of Mariposa

TYPE OF WORK: Road Rehabilitation

<table>
<thead>
<tr>
<th>Estimated Cost</th>
<th>State Funds</th>
<th>Matching Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>STATE</td>
<td>LOCAL</td>
</tr>
<tr>
<td>$1,115,000.00</td>
<td>$1,115,000.00</td>
<td>$0.00</td>
</tr>
<tr>
<td></td>
<td></td>
<td>OTHER</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$0.00</td>
</tr>
</tbody>
</table>

COUNTY OF MARIPosa

By ____________________________
Title Public Works Director
Date 7/7/2020
Attest ____________________________

STATE OF CALIFORNIA
Department of Transportation
for ____________________________
Chief, Office of Project Implementation
Division of Local Assistance
Date 7-22-2020

I hereby certify upon my personal knowledge that budgeted funds are available for this encumbrance:

Accounting Officer ____________________________
Date 6/19/2020
$1,115,000.00

APPROVED AS TO FORM:

STEVEn W. DAHMLeM
COUNTY COUNSEL
SPECIAL COVENANTS OR REMARKS

1. This PROJECT is programmed to receive funding from the State Transportation Improvement Program (STIP). Funding may be provided under one or more components. A component(s) specific fund allocation is required, in addition to other requirements, before reimbursable work can occur for the component(s) identified. Each allocation will be assigned an effective date and identify the amount of funds allocated per component(s).

This PROGRAM SUPPLEMENT has been prepared to allow reimbursement of eligible PROJECT expenditures for the component(s) allocated. The start of reimbursable expenditures is restricted to the later of either 1) the effective date of the Master Agreement, 2) the effective date of the PROGRAM SUPPLEMENT, or 3) the effective date of the component specific allocation.

2. STATE and ADMINISTERING AGENCY agree that additional funds made available by future allocations will be encumbered on this PROJECT by use of a STATE approved Allocation Letter and Finance Letter. ADMINISTERING AGENCY agrees that STATE funds available for reimbursement will be limited to the amount allocated by the California Transportation Commission (CTC) and/or the STATE.

3. Upon ADMINISTERING AGENCY request, the CTC and/or STATE may approve supplementary allocations, time extensions, and fund transfers between components. An approved time extension will revise the timely use of funds criteria, outlined above, for the component(s) and allocation(s) requested. Approved supplementary allocations, time extensions, and fund transfers between components made after the execution of this PROGRAM SUPPLEMENT will be documented and considered subject to the terms and conditions thereof.

Documentation for approved supplementary allocations, time extensions, and fund transfers between components will be a STATE approved Allocation Letter, Fund Transfer Letter, Time Extension Letter, and Finance Letter, as appropriate.

4. This PROJECT will be administered in accordance with the CTC STIP guidelines, as adopted or amended, and the STATE Procedures for Administering Local Grant Projects in the State Transportation Improvement Program (STIP), the Local Assistance Program Guidelines, and the Local Assistance Procedures Manual. The submittal of invoices for project costs shall be in accordance with the above referenced publications and the following.

5. The ADMINISTERING AGENCY shall invoice STATE for environmental & permits, plans specifications & estimate, and right-of-way costs no later than 180 days after the end of last eligible fiscal year of expenditure. For construction costs, the ADMINISTERING AGENCY has 180 days after project completion to make the final payment to the contractor and prepare the final Report of Expenditures and final invoice, and submit to STATE for verification and payment.

6. All obligations of STATE under the terms of this Agreement are subject to the
SPECIAL COVENANTS OR REMARKS

appropriation of resources by the Legislature and the encumbrance of funds under this Agreement. Funding and reimbursement are available only upon the passage of the State Budget Act containing these STATE funds.

7. Award information shall be submitted by the ADMINISTERING AGENCY to the District Local Assistance Engineer immediately after the award. Failure to do so will cause delay in processing the invoices for the construction component. As a minimum, the award information should have the following: Project number, project description, PPNO, date the project was advertised, award amount, bid opening date, award date and estimated completion date.

8. This PROJECT is subject to the timely use of funds provisions enacted by Senate Bill 45 (SB 45), approved in 1997, and subsequent CTC guidelines and State procedures approved by the CTC and STATE, as outlined below:

Funds allocated for the environmental & permits, plan specifications & estimate, and right-of-way components are available for expenditure until the end of the second fiscal year following the year in which the funds were allocated.

Funds allocated for the construction component are subject to an award deadline and contract completion deadline. ADMINISTERING AGENCY agrees to award the contract within 6 months of the construction fund allocation and complete the construction or vehicle purchase contract within 36 months of award.

9. ADMINISTERING AGENCY agrees, as a minimum, to submit invoices at least once every six months commencing after the funds are encumbered for each phase by the execution of this Project Program Supplement Agreement, or by STATE's approval of an applicable Finance Letter. STATE reserves the right to suspend future authorizations/obligations for Federal aid projects, or encumberances for State funded projects, as well as to suspend invoice payments for any on-going or future project by ADMINISTERING AGENCY if PROJECT costs have not been invoiced by ADMINISTERING AGENCY for a six-month period.

If no costs have been invoiced for a six-month period, ADMINISTERING AGENCY agrees to submit for each phase a written explanation of the absence of PROJECT activity along with target billing date and target billing amount.

ADMINISTERING AGENCY agrees to submit the final report documents that collectively constitute a "Report of Expenditures" within one hundred eighty (180) days of PROJECT completion. Failure of ADMINISTERING AGENCY to submit a "Final Report of Expenditures" within 180 days of PROJECT completion will result in STATE imposing sanctions upon ADMINISTERING AGENCY in accordance with the current Local Assistance Procedures Manual.
SPECIAL COVENANTS OR REMARKS

10. Any State and Federal funds that may have been encumbered for this project are available for disbursement for limited periods of time. For each fund encumbrance the limited period is from the start of the fiscal year that the specific fund was appropriated within the State Budget Act to the applicable fund Reversion Date shown on the State approved project finance letter. Per Government Code Section 16304, all project funds not liquidated within these periods will revert unless an executed Cooperative Work Agreement extending these dates is requested by the ADMINISTERING AGENCY and approved by the California Department of Finance.

ADMINISTERING AGENCY should ensure that invoices are submitted to the District Local Assistance Engineer at least 75 days prior to the applicable fund Reversion Date to avoid the lapse of applicable funds. Pursuant to a directive from the State Controller's Office and the Department of Finance; in order for payment to be made, the last date the District Local Assistance Engineer can forward an invoice for payment to the Department's Local Programs Accounting Office for reimbursable work for funds that are going to revert at the end of a particular fiscal year is May 15th of the particular fiscal year. Notwithstanding the unliquidated sums of project specific State and Federal funding remaining and available to fund project work, any invoice for reimbursement involving applicable funds that is not received by the Department's Local Programs Accounting Office at least 45 days prior to the applicable fixed fund Reversion Date will not be paid. These unexpended funds will be irrevocably reverted by the Department's Division of Accounting on the applicable fund Reversion Date.

11. ADMINISTERING AGENCY agrees to comply with the requirements in 2 CFR Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (applicable to Federal and State Funded Projects).

12. The ADMINISTERING AGENCY shall construct the PROJECT in accordance with the scope of work presented in the application and approved by the California Transportation Commission. Any changes to the approved PROJECT scope without the prior expressed approval of the California Transportation Commission are ineligible for reimbursement and may result in the entire PROJECT becoming ineligible for reimbursement.
To: Mariposa County

<table>
<thead>
<tr>
<th>FINANCE ITEMS</th>
<th>PRO RATE OR LUMP SUM</th>
<th>TOTAL COST OF WORK</th>
<th>PART. COST</th>
<th>STATE STIP</th>
<th>FPIN 10-J59</th>
</tr>
</thead>
<tbody>
<tr>
<td>Plans, Specifications &amp; Estimates</td>
<td>$80,000</td>
<td>$25,000.00</td>
<td>$25,000.00</td>
<td>$25,000.00</td>
<td></td>
</tr>
<tr>
<td>Contingencies:</td>
<td>$80,000</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total:</td>
<td>$1,060,000</td>
<td>$1,115,000.00</td>
<td>$1,115,000.00</td>
<td>$1,115,000.00</td>
<td></td>
</tr>
<tr>
<td>Construction</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Agency Construction Engineering</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total:</td>
<td></td>
<td>$1,115,000.00</td>
<td>$1,115,000.00</td>
<td>$1,115,000.00</td>
<td></td>
</tr>
</tbody>
</table>

Participation Ratio: 100.00%

This Finance Letter was created based on specific financial information provided by the responsible local agency. The following encumbrance history is prepared by Local Assistance Accounting Office and is provided here for local agency's information and action.

Signature: [Signature]
Title: HQ Sr. Area Engineer

For questions regarding finance letter, contact:
Printed Name: Robert Knoepf
Telephone No: (910) 551-8915

Remarks:
* STIP-RIP funds ($1,090,000 - State) were allocated to the construction (including CE) component of work on May 13, 2020 by the CTC.

* STIP-RIP funds ($25,000 - State) were allocated to the PS&E component of work on May 16, 2019 by the CTC.

ACCOUNTING INFORMATION

<table>
<thead>
<tr>
<th>ADV PROJECT ID</th>
<th>APPROP UNIT</th>
<th>STATE PROG</th>
<th>FED/STATE</th>
<th>ENCUMBRANCE AMOUNT</th>
<th>APPROP YEAR</th>
<th>EXPENDITURE AMOUNT</th>
<th>ENCUMBRANCE BALANCE</th>
<th>REVERSION DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>10190000139</td>
<td>18101</td>
<td>2009062402</td>
<td>2100020102</td>
<td>$25,000.00</td>
<td>2018</td>
<td>$2,000.00</td>
<td>$25,000.00</td>
<td>06/30/2023</td>
</tr>
<tr>
<td>10190000139</td>
<td>18101</td>
<td>2009062402</td>
<td>2100020102</td>
<td>$1,060,000.00</td>
<td>2019</td>
<td>$2,000.00</td>
<td>$1,060,000.00</td>
<td>06/30/2024</td>
</tr>
</tbody>
</table>

Cooperative Work Agreement

*Please refer to the applicable CTC Guidelines for Timely Use of Funds (TUF) Provisions. Also refer to your allocation notification letter(s) for allocation-specific TUF deadlines.

Page 1 of 1