RECOMMENDED ACTION AND JUSTIFICATION: (Policy Item: Yes  No x)

Approve and accept McKinney Homeless-PATH grant to provide services for the Homeless Mentally Ill dual diagnosis residents of Mariposa County for fiscal year 1997-98.

BACKGROUND AND HISTORY OF BOARD ACTIONS:

This source of funding began in 1991-92 and continued funding will enhance this county's ability to provide temporary shelter to persons who are diagnosed mentally ill. These are 100% federal funds and involve no additional costs to the County. The Board approved Resolution No. 96-393 on September 24, 1996 for the 1996-97 year. The application is submitted in two sections with the first section having a deadline of July 18, 1997. The first section was submitted on July 8, 1997 with the understanding that the remainder of the application would include documentation of the Mental Health Board review and approval by the Board of Supervisors. The application was reviewed by the Mental Health Board at their September 2, 1997 meeting.

LIST ALTERNATIVES AND CONSEQUENCES OF NEGATIVE ACTION:

Decrease ability to be able to serve this population.

COSTS:  ( ) Not Applicable  $ 1,359.
A. Budgeted current FY
B. Total anticipated costs  $ 1,359.
C. Required additional funding  $ —
D. Internal transfers  $ —

SOURCE:  ( ) 4/5ths Vote Required
A. Unanticipated revenues  $ —
B. Reserve for contingencies  $ —
C. Source description:
Balance in Reserve for Contingencies, if approved: $ —

SPECIAL INSTRUCTIONS:
List the attachments and number the pages consecutively:

11 pg. application

CLERK’S USE ONLY:
Res. No.: 92-370  Ord. No. 
Vote - Ayes:  Noes:  Abstained:  
Absent:  Rehnke   
( ) Minute Order Attached  ( ) No Action Necessary

The foregoing instrument is a correct copy of the original on file in this office.

Date:

ATTEST: MARGIE WILLIAMS, Clerk of the Board  
County of Mariposa, State of California
By:  Deputy

ADMINISTRATIVE OFFICER’S RECOMMENDATION:
This item on agenda as:

Recommended  Not Recommended
For Policy Determination  Submitted with Comment
Returned for Further Action

Comment:

A.O. Initials:  
Action Form Revised 5/92
PATH ALLOCATION WORKSHEET
MH 1772B (6/97)

STATE FISCAL YEAR: 1997/98

COUNTY: Mariposa

The State Department of Mental Health (DMH) provides for planning purposes the Federal Projects For Assistance in Transition From Homelessness (PATH) Formula Grant funds to counties for State Fiscal Year (SFY) 1996/97. Your PLANNING ESTIMATE is identified below.

PATH Funds FY 1997/98 (Amount Reimbursable): $1,359

PURPOSE: Planning Estimate

Date: June 17, 1997

I, the undersigned Director of Mental Health for Mariposa County, have accepted the Federal PATH funds for the county under the specific conditions included in the positive and negative assurances as part of the County Application Package (CAP) as well as those conditions established by other governing federal and state laws, policies, regulations, and guidelines. The CAP as approved by DMH will be followed in expending these funds.

County Mental Health Director

Date
CERTIFICATIONS

1. CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 45 CFR Part 76, and its principals:

(a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal Department or agency;

(b) have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and

(d) have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

Should the applicant not be able to provide this certification, an explanation as to why should be placed after the assurances page in the application package.

The applicant agrees by submitting this proposal that it will include, without modification, the clause titled “Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion—Lower Tier Covered Transaction” (Appendix B to 45 CFR Part 76) in all lower tier covered transactions (i.e., transactions with subgrantees and/or contractors) and in all solicitations for lower tier covered transactions.

2. CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

The undersigned (authorized official signing for the applicant organization) certifies that it will provide a drug-free workplace in accordance with 45 CFR Part 76 by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee’s workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing a drug-free awareness program to inform employees about—
(1) The dangers of drug abuse in the workplace;
(2) The grantee’s policy of maintaining a drug-free workplace;
(3) Any available drug counseling, rehabilitation, and employee assistance programs; and
(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;

(d) Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will—
(1) Abide by the terms of the statement; and
(2) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction;

(e) Notifying the agency within ten days after receiving notice under subparagraph (d)(2), above, from an employee or otherwise receiving actual notice of such conviction;

(f) Taking one of the following actions, within 30 days of receiving notice under subparagraph (d)(2), above, with respect to any employee who is so convicted—
(1) Taking appropriate personnel action against such an employee, up to and including termination; or
(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f), above.

3. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non-appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING $100,000 in total costs (45 CFR Part 93).

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

4. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRRA)

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that the statements herein are true, accurate, and complete, and agrees to comply with the Public Health Service terms and conditions if an award is issued as a result of this application. Willful provision of false information is a criminal offense (Title 18, U.S. Code, Section 1001). Any person making any false, fictitious, or fraudulent statement may, in addition to other remedies available to the Government, be subject to civil penalties under the Program Fraud Civil Remedies Act of 1986 (45 CFR Part 79).

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<th>DATE SUBMITTED</th>
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<td>[Organization Name]</td>
<td>7-8-97</td>
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COMPLIANCE ASSURANCES

I hereby certify that the County of [County Name] agrees to the following:

A. Amounts received under the PATH Formula Grant will be expended solely to provide services to persons who have a serious mental illness, or have a co-occurring serious mental illness and substance abuse disorder, and who are homeless or at imminent risk of becoming homeless.

B. Grant funds shall be expended only for the following services:
   1. Outreach services;
   2. Screening and diagnostic treatment services;
   3. Habilitation and rehabilitation services;
   4. Community mental health services;
   5. Alcohol or drug treatment services;
   6. Staff training, including the training of individuals who work in sites where homeless individuals require services;
   7. Case management services;
   8. Supportive and supervisory services in residential settings;
   9. Referrals for primary health services, job training, education services, and relevant housing services; and
   10. Housing services including minor revision, expansion, and repair of housing, planning of housing, technical assistance in applying for housing assistance, improving the coordination of housing services, security deposits, costs associated with matching eligible homeless individuals with appropriate housing situations, and one time rental payments to prevent eviction.

C. Grants will be made pursuant to subsection (a) only to entities that have the capacity to provide, directly or through arrangements, the specified service(s) including coordinating the provision of service(s) in order to meet the needs of eligible homeless individuals who are both mentally ill and suffering from substance abuse.

D. Special consideration will be given to funding entities with a demonstrated effectiveness in serving veterans who are homeless.
E. Grant funds will not be given to any entity that has a policy of excluding individuals from mental health services due to the existence or suspicion of substance abuse or which excludes individuals from substance abuse services due to the existence or suspicion of mental illness.

F. Not more than 2.06 percent of the payments under PATH will be expended for administrative expenses.

G. The county will verify that the manner and timing of the public notification of the mental health board review of the PATH application provides sufficient opportunity to allow comments from interested persons and agencies at the board hearing.

H. Not more than 20 percent of the payments will be expended for allowable housing services. The payments will not be expended to support emergency shelters or construction of housing facilities for inpatient psychiatric or inpatient substance abuse treatment costs or to make cash payments to intended recipients of mental health or substance abuse services.

I. The county will make available, directly or through donations from public or private entities, non-federal contributions toward such costs in an amount that is not less than $1 for each $3 of federal funds provided in such payments. The amount of the county match is $453.00.

J. The description of intended use will be revised throughout the year to reflect substantial changes in the programs and activities funded through the PATH grant.

K. The county agrees to provide all reports required by the State Department of Mental Health.

L. The county has budgeted SFY 1996-97 non-PATH funds for services to individuals who are homeless and mentally disabled as follows:

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Signature of Director  
Date 7-8-97
ASSURANCES — NON-CONSTRUCTION PROGRAMS

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial, and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management, and completion of the project described in this application.

2. Will give the awarding agency, the Comptroller General of the United States, and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.

3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.

5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§ 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).

6. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.

8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is $10,000 or more.

11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violators pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11905; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§ 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).


13. Will assist the awarding agency in assessing compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (1 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).

14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research development, and related activities supported by this award of assistance.

15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.

16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.

17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.

18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

---

**SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL**

[Signature]

**TITLE**

County Mental Health Director

**APPLICANT ORGANIZATION**

Kings View - Mariposa Counseling Center

**DATE SUBMITTED**

7-8-97
### PATH PROGRAM

**PROVIDER NARRATIVE FACE SHEET**

**County:** MARIPOSA  
**Provider:** Mariposa Counseling Center  
**Fiscal Year:** 97/98  
**Allocation:** $1,359.00

**Provider Type (enter code from below):**  
1. County

**Service Area (County, City, Neighborhood, etc.):** County

**County Contact Person:** Birty Perce  
**Telephone:** (209) 966-2000  
**Fax:** (209) 966-8251

---

### A.

Indicate which of the following essential services will be provided by the provider with PATH funds.

- [X] Outreach
- [ ] Housing
- [X] Staff Training
- [X] Community Mental Health Services
- [X] Screening and Diagnostic Services
- [ ] Supportive and Supervisory Services in Residential Settings
- [X] Referrals for Primary Health Services, Job Training, Education Services and relevant Housing Services
- [ ] Case Management
- [ ] Alcohol or Drug Treatment

Indicate which budget categories are funded by PATH.

- [X] Personnel
- [ ] Consultants
- [ ] Equipment
- [X] Supplies
- [ ] Travel
- [ ] Other

**Provider Codes:**

1. Community Mental Health
2. Consumer Mental Health Agency
3. Other Mental Health Agency
4. Other Social Service Agency
5. Health Care Program
6. Substance Abuse Program
7. Shelter/Other Temporary Housing
8. Other Housing Agency
9. Other (specify)

---

### ADDITIONAL REQUIRED ITEMS (B THRU H)

To complete the Program Narrative, please submit items B thru H as outlined in the Program Narrative Guidelines of these instructions. Limit is TWO additional sheets of plain (not letterhead) paper with name of county indicated at the top of the sheet.
State of California  
Department of Mental Health  

PATH GRANT  
State fiscal Year 1997/98

County: MARIPOSA  
County Contact: Birty Perce  
Provider Name: Mariposa Counseling Center  
Provider Address: P.O. Box 99  
Mariposa, CA 95338  
Provider Number: 2201  

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DMH APPROVAL BY: James R. Collins  
TELEPHONE: (916) 327-9314  
DATE:  

PATH GRANT 1997-98

A. Enclosure V

B. PATH SERVICES DELIVERY SYSTEM:

The small amount of PATH Grant monies allocated will fund a .03 FTE clinical social worker to provide the following services within budget restrictions:

1. Screening and diagnostic treatment services

2. Community Mental Health Services


4. Referrals for Primary Health Services, Job Training, Educational Services and Appropriate Housing Programs.

5. One time emergency rental payments and security deposits.

6. Dual Diagnosis Services

C. PATH GOAL AND OBJECTIVES:

1. Goal:

To provide temporary assistance, one time rental payments and/or security deposits and psychiatric care to the homeless of our community.

Objectives:

. Increase access for homeless to the Alcohol/Drug program thru awareness with local agencies and churches.

. Provide case management services thru increasing transportation to the clinic.

2. Goal:

Reduce local homelessness due to loss of cash early in the month.

Objective:

Continue working with the Conservatorship office on establishing a County operated payee system.
D. PROJECTIONS AND MEASUREMENTS:

Six to seven additional clients will be served with the approximately $1,359 from Path Grant and matching 33% from general funds. Costs will be initial diagnostic/assessment services, case management, and security deposits for housing for the homeless.

The effectiveness of this small grant will be measured by number of clients served, an increase in the number of homeless using mental health treatment and alcohol/drug services, diminished time living on the streets, decreased time in obtaining necessary and essential services such as food and housing.

E. ALTERNATE RESOURCES FOR PATH-SUPPORTED SERVICES:

Few, if any, homeless services exist in this community outside of this agency. Local social services and Manna House (a non-profit clothing and food service for homeless families) are the only other services available to the community. Often times these 2 services look to us to assist them. There is no rescue mission in the community. There is emergency housing for women and children affected by domestic violence, but time is limited in this facility.

F. DUAL DIAGNOSIS SERVICES:

Mariposa Counseling Center operates a joint mental health and alcohol/drug program within the same building. All mental health staff is well trained in substance abuse disorders and routinely consult with their alcohol/drug colleagues on a daily basis. Both staffs share general staff meetings and are supervised by a mental health clinician. In addition the alcohol/drug program has access to de-tox beds if needed to insure the medical care of a dual diagnosis client.

G. HOUSING/SUPPORT COORDINATION:

There currently is no rescue mission or residential program available for the homeless in our county. The County recently lost its Community Housing Coordinator and is in the process of deciding which County department will oversee the program. Planning for this year anticipates the case manager establishing a more formal relationship with the housing coordinator once that role is more established.

Efforts will be made with the new Community Housing Coordinator to assess their interest in developing alternative housing/or improvements to existing structures for mentally ill clients.

H. COORDINATION-OTHER PROGRAMS:

Mariposa Counseling Center has a long standing, effective working relationship with all the key agencies in the community and routinely refers and coordinates together around homeless clients. This natural, mutual need between agencies makes for an effective use of the agencies time and expedites services to the homeless. In addition the local Mental Health Director is the Director of Social Services making coordination smooth.

I. SUMMARY:

Mariposa Counseling Center's intent in applying for the Path Grant is to expand its limited resource base for the mentally ill and dual diagnosis clients, providing them with the necessary referral to health care, food, housing and to appropriate mental health and alcohol/drug treatment.